

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 07 2003  
TC 1700

APPLICANT: Stephen M. Key  
SERIAL NO.: 10/005,428  
FILED: December 3, 2001  
TITLE: Rotating Label System  
EXAMINER: Unknown  
GROUP ART UNIT: 1734  
ATTY.DKT.NO.: PA2321US

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

TC 1700-1700

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 C.F.R. §§ 1.56 and 1.97-1.98**

SIR:

Pursuant to the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 and a List of Co-Pending Patent Applications listing several references. Copies of those references previously cited in patent application Serial Number 09/551,985 (now Patent No. 6,402,872) are not included pursuant 37 CFR 1.98(d). A copy of any newly cited reference is included.

The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

The Applicant believes no fee is due at this time. The Examiner is, however, authorized to charge our Deposit Account No. 06-0600 for any

additional fees which may be required. A duplicate copy of this statement is included for this purpose.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Stephen M. Key

Dated: 11/3/03

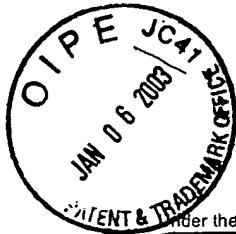
By: Susan Yee  
Susan Yee, Reg. No. 41,388  
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2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
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By: Susan Yee  
Susan Yee, Reg. No. 41,388



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1734 #  
1-13-03

PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

13

Application Number

10/005,428

Filing Date

December 3, 2001

First Named Inventor

Key

Group Art Unit

1734

Examiner Name

Unknown

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TO 1734  
1-13-03

## ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
  - (1) PTO-SB-08 (x2);
  - (2) List of Co-Pending Applications (x2);
  - (3) Three Co-Pending Patent Applications,
  - (4) Five Cited References;
  - (5) Confirmation Postcard

### Remarks

Total page number does not include postcard, cited references or co-pending applications.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Carr & Ferrell, LLP Cust. No. 22830

Signature

Reg. No. 41,388

Date

January 3, 2003

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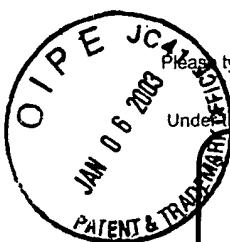
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Signature

Date

January 3, 2003

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

3

### Complete if Known

Application Number	10/005,428
Filing Date	December 3, 2001
First Named Inventor	Key
Group Art Unit	1734
Examiner Name	Unknown
Attorney Docket Number	PA2321US

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### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		736,035		Stevenson	08-11-1903	
		1,064,576		Washburn	06-10-1913	
		1,312,611		Chess	08-12-1919	
		1,334,031		Hahn	03-16-1920	
		1,387,625		Stein	08-16-1921	
		1,486,313		Van Antwerp	03-11-1924	
		2,013,616		Rettenmeyer	09-03-1935	
		2,129,364		Simons et al.	09-06-1938	
		2,441,607		Walls	05-18-1948	
		2,468,000		Taylor	04-19-1949	
		2,504,076		Lindblom	04-11-1950	
		2,844,893		Keller	07-29-1958	
		2,860,431		Barnum	11-18-1958	
		2,931,657		Lewis	04-05-1960	
		2,935,814		Freeze	05-10-1960	
		2,971,283		Parker	02-14-1961	
		3,278,182		Lescher	10-11-1966	
		3,374,911		White	03-26-1968	
		3,488,880		Taylor	01-13-1970	
		3,542,229		Beyerlein et al.	11-24-1970	

### FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		DK	85214		Fabrikerne	03-10-1958		
		FR	965522		Tairraz	09-14-1950		
		FR	1347102		Seprosy	11-18-1963		
		FR	2460260		Seprosy	01-23-1981		
		FR	285514		Hedelin	10-05-1988		
		FR	2677786		Charvier	12-18-1992		
		SE	1565		Carstens	07-06-1888		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 2 of 3

***Complete if Known***

Application Number	10/005,428
Filing Date	December 3, 2001
First Named Inventor	Key
Group Art Unit	1734
Examiner Name	Unknown
Attorney Docket Number	PA2321US

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		Number	Kind Code <sup>2</sup> (if known)			
		3,604,584		Shank	09-14-1971	
		3,733,002		Fujio	05-15-1973	
		3,960,713		Carey	06-01-1976	
		4,044,889		Orentreich et al.	08-30-1977	
		4,057,251		Jones et al.	11-08-1977	
		4,203,240		Goodwin	05-20-1980	
		4,312,523		Haines	01-26-1982	
		4,381,615		Lonsmin	05-03-1983	
		4,405,045		Villa-Real	09-20-1983	
		4,505,497		Katzman	03-19-1985	
		4,567,681		Fumei	02-04-1986	
		4,658,974		Fujita et al.	04-21-1987	
		4,724,973		Shah	02-16-1988	
		4,844,760		Dickey	07-04-1989	
		4,877,119		Hosking	10-31-1989	
		5,076,613		Kovacs	12-31-1991	
		5,116,452		Eder	05-26-1992	
		5,154,448		Griffin et al.	10-13-1992	
		5,321,933		Seifert et al.	06-21-1994	
		5,324,559		Brombacher	06-28-1994	

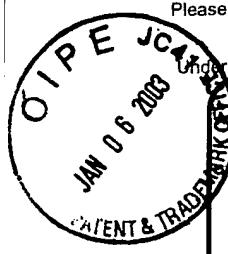
## FOREIGN PATENT DOCUMENTS

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Attorney Docket Number	PA2321US

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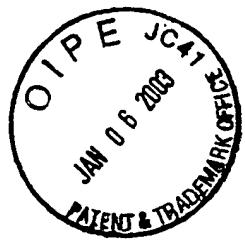
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SERIAL NO.: 10/005,428  
FILED: December 3, 2001  
TITLE: Rotating Label System  
EXAMINER: Unknown  
GROUP ART UNIT: 1734  
ATTY.DKT.NO.: PA2321US

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**LIST OF CO-PENDING APPLICATIONS  
THAT MAY BE DIRECTED TOWARD SIMILAR SUBJECT MATTER**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	09/866,219	Key	Roll-Fed Method for Constructing a Rotatable Label System	May 25, 2001
	10/112,542	Key	System and Method for a Rotatable Sleeve Device	March 27, 2002
	10/030,321	Key	Rotatable Label for Pharmaceutical Container and Method for Constructing Same	October 26, 2001
Examiner's Signature:				